

Mare Patent Application of

COPPI

Atty. Ref.: 2865-383; Confirmation No. 7778

Appl. No. 10/601,545

TC/A.U. 3763

Filed: June 24, 2003

Examiner: Sirmons, K.

For: ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS SYSTEM

\* \* \* \* \* \* \* \* \*

#### MAIL STOP ISSUE FEE

July 26, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# REQUEST FOR CORRECTION OF INVENTORSHIP PURSUANT TO 37 CFR §1.48(a)

It is respectfully requested that the inventorship of the above-identified application be corrected to identify Gioacchino COPPI as the sole inventor. Submitted in support of this request is:

- 1. a Statement from each person being deleted as an inventor that the error in inventorship occurred without any deceptive intention on their part;
- 2. a Statement from the remaining inventor agreeing to the deletion of Benedini and Gardelli as inventors;
- 3. a Declaration by the actual inventor, Gioacchino Coppi, as required by 37 CFR §1.63;

07/27/<del>2005</del> JADDO1 000005h copyrogessing fee (\$130.00) set forth in 37 CFR §1.17(i); and

COPPI Appl. No. 10/601,545 July 26, 2005

5. the Written Consent of the Assignee (an assignment is being concurrently filed for recordation - copy attached).

Early and favorable consideration is requested.

Respectfully submitted,

**NIXON & VANDERHYE P.C.** 

Bv:

Michelle N. Lester Reg. No. 32,331

MNL:slj 1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

# E JC73

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

**COPPI** 

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AND CATHETER FOR THIS SYSTEM

\* \* \* \* \* \* \* \* \* \*

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **STATEMENT**

The Inventorship error of naming Roberto Benedini and Antonio Gardelli as inventors of the above-identified U.S. Patent Application No. 10/601,545 occurred without any deceptive intention on the part of Roberto Benedini.

/Roberto Benedini

July 20, 2005



In re Patent Application of

**COPPI** 

Atty. Ref.: 2865-383; Confirmation No. 7778

Appl. No. 10/601,545

TC/AU.: 3763

Filed: June 24, 2003

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AND CATHETER FOR THIS SYSTEM

\* \* \* \* \* \* \* \* \* \* \* \*

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **STATEMENT**

The Inventorship error of naming Roberto Benedini and Antonio Gardelli as inventors of the above-identified U.S. Patent Application No. 10/601,545 occurred without any deceptive intention on the part of Antonio Gardelli.

Antonio Gardelli

Date



In re Patent Application of

**COPPI** 

Atty. Ref.: 2865-383; Confirmation No. 7778

Appl. No. 10/601,545

TC/AU 3763

Filed: June 24, 2003

Examiner: Sirmons, K.

For: ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID

AND CATHETER FOR THIS SYSTEM

\* \* \* \* \* \* \* \* \* \*

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **STATEMENT**

I, Gioacchino Coppi, agree to the deletion of Roberto Benedini and Antonio Gardelli as inventors of the above-identified U.S. Patent Application No. 10/601,545.

Gioacchino Coppi

Date



2865-383

Nixon & Vanderhye P.C. (10/99) (Domestic Non-Assigned/Foreign) Page 1

# RULE 63 (37 C.F.R. 1.63) INVENTORS DECLARATION FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, malling address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS SYSTEM

	ENDOVAGOULATION								
	fication of which (check	applicable box(es)):							
LJ	s attached hereto		110 4	dat Na 40/004 E4E	(Atty. Dkt. No. 2865-383)				
	vas filed on	June 24, 2003	as U.S. Application Se		(Alty. DKt. No. 2005-365)				
ři w	vas filed as PCT Internat	ional application No.		on					
and (if ac	and (if applicable to U.S. or PCT application) was amended on								
	•								
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:  Priority Foreign Application(s):  Application Number  Country  Day/Month/Year Filed									
	SV98A000070	•	ITALY		03/Dec/1998				
	PCT/EP99/09295		wo		30/Nov/1999_				
I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.  Application Number Date/Month/Year Filed  I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:									
i nereby	ciaim the benefit tricer (	35 U.S.C. 120/303 Of all )							
Prior U.S./PCT Application(s): Application Serial No.			Day/Month/Year Fi	led	Status: patented pending, abandoned				
	09/857,107		17/JUL/2001		Patented				
be true; a imprison application 4000 (to owner's/	and further that these stament, or both, under Secon or any patent issued to whom all communication owners' attorneys to properly also authorize communicated from the patent.	atements were made with tition 1001 of Title 18 of the hereon. And on behalf on the are to be directed) and secute this application are become the secure to a secure the secure the secure the secure the secure to	in the knowledge that willful in the United States Code and of the owner(s) hereof, I here it the attorneys of: Cust and to transact all business in did or delete attorneys from by, firm, or other organization.	talse statements and the that such willful false stateby appoint Nixon & Varoner Number in the Patent and Tradern that Customer Number.	on information and belief are believed to like so made are punishable by fine or tements may jeopardize the validity of the iderhye P.C., telephone number 703-816-23117, individually and collectively ark Office connected therewith and with the and to act and rely solely on instructions Nixon & Vanderhye on behalf of the  Date: July 2, 2005  Italian (citizenship)				
					Date:				
2	Inventor's Signature:				Date.				
	Inventor:	(first)	MI	(last)	(citizenship)				
	Desidence (eit/	(first)	ivii	(state/country)	/a.masa/				
	Residence: (city)			(					
	Mailing Address:								
	(Zip Code)								

[ ] See attached sheet(s) for additional inventor(s) information!!



In re-Patent Application of

COPPI Atty. Ref.: 2865-383

Appln. No. 10/601,545 Group: 3763

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For: ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS

SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### **CONSENT OF ASSIGNEE**

Invatec S.r.l., having a principal place business at Via Martiri della Libertà, 7 - 25030 Roncadelle (BS) Italy verifies through its duly authorized representative that it is the assignee of the entire right, title and interest in the above-identified application. A Statement under 37 CFR 3.73(b) is attached.

The above-named assignee consents to the deletion of Roberto Benedini and Antonio Gardelli as inventors for this patent application. The undersigned is authorized to execute this document on behalf of Invatec S.r.l.

By (Signature):	Date: Tely 21, 2005	
Print Name: ANDREA VENTURELLI		
Print Title: CEO	_	



PTO/SB/96 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT. OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)						
Applicant/Patent Owner: Coppi						
Application No./Patent No.: 10/601,545 Filed/Issue Date: June 24, 2003						
Entitled: ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS SYSTEM						
Invatec S.r.l. , a <u>corporation</u> (Name of Assignee) (Type of Assignee. e.g., corporation, partnership	p, university. government agency, etc )					
states that it is:  1.  the assignee of the entire right, title, and interest; or						
2. an assignee of less than the entire right, title and interest.  The extent (by percentage) of its ownership interest is%						
in the patent application/patent identified above by virtue of either:						
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.						
OR  B. A chain of title from the inventor(s), of the patent application/patent identified above, to t below:						
From: Coppi To: Coppi, Benedini and Gardelli     The document was recorded in the United States Patent and Trademark Office a	1					
The document was recorded in the United States Patent and Trademark Office a Reel, Frame, or for which a copy thereof is	attached.					
2. From: Coppi Reportini and Gardelli To: Invates S.r.I.						
The document was recorded in the United States Patent and Trademark Office a Reel, Frame, or for which a copy thereof	t is attached.					
3. From:						
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.						
Additional documents in the chain of title are listed on a supplemental sheet.						
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy ( <i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]						
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.	TD 21 200					
Le Jus Verrell	Date Date					
Signature (	<i></i>					
Printed or Typed Name	Telephone Number					
Œ						

This collection of information is required by 37 CFR 3.73(b) The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### **ASSIGNMENT NUNC PRO TUNC**

WHEREAS on or about November 11, 1998, GIOACCHINO COPPI, an individual residing at Via Alsazia 40/2, Modena, Italy 41100 (hereinafter "ASSIGNOR"), for good and valuable consideration, the receipt of which is hereby acknowledged, effectively sold, assigned and transferred to GIOACCHINO COPPI (34%), an individual residing at Via Alsazia 40/2, Modena, Italy 41100; ROBERTO BENEDINI (33%), an individual residing at Via Sereto 7F, Monticelli Brusati, Italy 25040; and ANTONIO GARDELLI (33%), an individual residing at Via Duccio di Boninsegna, 6, Bologna, Italy, 40133 (hereinafter collectively "ASSIGNEES"), all right, title and interest in and to an invention entitled ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS SYSTEM for which a so-entitled Application for Letters Patent of the United States was filed on July 17, 2001 as Application No. 09/857,107, for which a Letters Patent of the United States was issued on July 22, 2003, as U.S. Patent No. 6,595,953, and for which a so-entitled divisional Application for Letters Patent of the United States was filed on June 24, 2003 as Application No. 10/601,545; and

WHEREAS the parties wish to memorialize the conveyance in a document suitable for recordation in the U.S. Patent Office;

NOW, therefore, for said good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by said presents has sold, assigned, transferred and does hereby sell, assign, and transfer, to ASSIGNEES, their successors, assigns and legal representatives, nunc pro tunc as of November 11, 1998, the effective date of the Assignment referred to above, ASSIGNOR'S entire right, title and interest in the United States of America as defined in 35 U.S.C. § 100, in and to the invention as described in the aforesaid applications and to the said applications and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, including, though not in way of limitation, all rights of recovery for past infringement thereof; and the ASSIGNOR hereby authorizes and requests the

Commissioner of Patents and Trademark to issue said Letters Patent to ASSIGNEES, for their interest as ASSIGNEE, their successors, assigns, and legal representatives; and

ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEES, their successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

AGREED and executed as noted below:

By:

Name:

Gioacchino Copp

Date:

-----



# ASSIGNMENT FOR PATENT APPLICATION (all rights)

WHEREAS, Gioacchino Coppi, Roberto Benedini and Antonio Gardelli, individuals residing respectively at via Alsazia 40/2, Modena, Italy 4110, Via Sereto 7F, Monticelli Brusati Italy 25040, and Via Duccio di Boninsegna, 6, Bologna Italy, 40133 (hereinafter collectively "ASSIGNORS") are collectively the owners, by virtue of an assignment from the inventor thereof, of a certain invention entitled ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS SYSTEM for which a so-entitled Application for Letters Patent of the United States was filed on July 17, 2001 as Application No. 09/857,107, for which a Letters Patent of the United States was issued on July 22, 2003 as U.S. Patent No. 6,595,953; and for which a so-entitled divisional Application for Letters Patent of the United States was filed on June 24, 2003 as Application No. 10/601,545;

WHEREAS ASSIGNORS wish to retain all right, title and interest to said United States Patent No. 6,595,953, including any and all reissues and reexaminations thereof;

WHEREAS INVATEC S.r.l. having an office and place of business at Via Martiri della Liberta, 7, Roncadelle (BS) Italy 25030 (hereinafter "ASSIGNEE") is desirous of acquiring ASSIGNORS' right, title and interest in and to divisional Application No. 10/601,545 and to all subsequent applications based thereon including any and all continuations, divisions, reissues, reexaminations, and substitutes thereof, and all resulting patents (hereinafter collectively "said APPLICATION"),

WHEREAS ASSIGNORS wish to transfer ASSIGNORS' right, title and interest in said APPLICATION to said ASSIGNEE,

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the ASSIGNORS, by these presents hereby sells, assigns, conveys and transfers unto ASSIGNEE, its successors, assigns, and legal representatives, all right, title and interest that ASSIGNORS have in said APPLICATION in the United States, its territories, dependencies and possessions;

AND ASSIGNORS agree to execute any papers and documents requested by ASSIGNEE, its successors, assigns, and legal representatives deemed essential by

ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

By (Signature): Print Name:

Gioagchino Coppi

Date: July 20, 2005

By (Signature): Print Name:

Roberto Benedini

Date: July 20, 2005

By (Signature)( Print Name:

Antonio Gardelli/

Date: